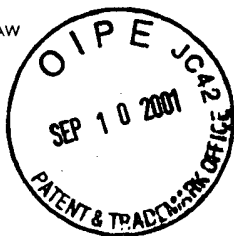


**THORPE  
NORTH &  
WESTERN** L.L.P.  
SINCE 1979  
THE TEAM APPROACH TO PREMIER PERFORMANCE

INTELLECTUAL PROPERTY LAW

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COMPETITION AND  
RELATED MATTERS

**SALT LAKE AREA OFFICE**  
8180 SOUTH 700 EAST, SUITE 200  
SANDY, UTAH 84070-0562  
801.566.6633  
801.566.0750 FAX  
PATLAW@TNW.COM  
WWW.TNW.COM

**U.S. MAILING ADDRESS**  
P.O. Box 1219  
SANDY, UTAH 84091-1219

**LAS VEGAS AREA OFFICE**  
OF COUNSEL: NEIL J. BELLER †  
7408 WEST SAHARA  
LAS VEGAS, NEVADA 89117  
702.368.7767  
† ADMITTED IN NEVADA

0128  
0330

VAUGHN W. NORTH  
M. WAYNE WESTERN  
CLIFTON W. THOMPSON\*  
GARRON M. HOBSON  
PETER M. DE JONGE  
WEILI CHENG, PHD.  
DAVID R. MCKINNEY, PE.  
STEVE M. PERRY  
GARY P. OAKESON  
DAVID W. OSBORNE

REGISTERED PATENT ATTORNEYS  
\* ADMITTED IN CALIFORNIA ONLY

JASON R. JONES  
TECHNICAL CONSULTANT  
CALVIN E. THORPE  
(1939-1999)

September 7, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an  
Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§  
1.97 and 1.98:

- ☒ Form PTO-1449 list of references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☒ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

- \_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- \_\_\_ Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- \_\_\_ Check No. \_\_\_ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 7th day of September, 2001.

Respectfully submitted,

*M. Wayne Western*

M. Wayne Western  
Attorney for Applicant  
Registration No. 22,788

THORPE NORTH & WESTERN, L.L.P.  
Customer No. 20,551  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633

*DWO*  
MWW/DWO/vm

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PATENT APPLICATION  
DOCKET NO. T8345.NP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Weihong Xiong  
SERIAL NO.: 60/249,380  
FILED: 11/17/2000  
FOR: TRANSDERMAL DELIVERY  
SYSTEM FOR ALKALOIDS OF  
ACONITUM SPECIES  
ART UNIT:  
EXAMINER:  
DOCKET NO.: T8345.NP

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

*Vanessa Montague*

9-7-01

Date of Deposit

#16  
B.Q.

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

X 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

\_\_\_\_\_ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

\_\_\_\_\_ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

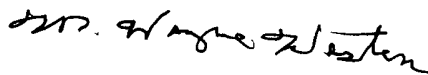
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 9th day of September, 2001.

Respectfully submitted,



M. Wayne Western  
Attorney for Applicant  
Registration No. 22,788

THORPE NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633

<sup>MWO</sup>  
MWW/DWO/vm

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PTO-1449

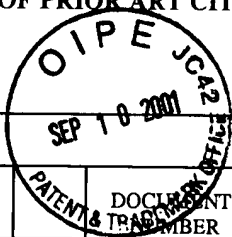
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
T8345.NPSERIAL NO.  
60/249,380

## LIST OF PRIOR ART CITED BY APPLICANT

APPLICANT Weihong Xiong

FILING DATE  
11/17/2000

GROUP



## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AM	CN 1,074,117A	China			
	AN					
	AO					
	AP					
	AQ					

## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.